

United States Patent and Trademark Office

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandra, Vriginia 22313-1450 www.usptu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/802,259

03/17/2004

Robert H. Blick

032026:0761

23524 **FOLEY & LARDNER** 150 EAST GILMAN STREET P.O. BOX 1497 MADISON, WI 53701-1497



CONFIRMATION NO. 4314 FORMALITIES LETTER

OC000000012873682*

Date Mailed: 06/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/09/2004 BABRAHA1 00000033 10802259

01 FC:2001 02 FC:2051 03 FC:2202 04 FC:2201

385.00 OP

FILED UNDER 37 CFR 1.53(b)

65.00 OP 216.00 OP 86.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

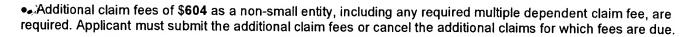
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 9, 12, 15-17, 19.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:



SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1504 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$604
 - \$172 for 2 independent claims over 3.
 - \$432 for 24 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Applicants: Robert H. Blick, et al.

Title:

ENHANCEMENT OF

FABRICATION YIELDS OF NANOMECHANICAL DEVICES BY THIN FILM DEPOSITION

Appl. No.:

10/802,259

Filing Date: 03/17/2004

Art Unit:

2811

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below. Harry C. Engstrom (Printed Name) August 3, 2004 (Date of Deposit)

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application mailed June 4, 2004, in the above-identified application, transmitted herewith are the missing parts to complete the filing of the subject patent application.

Enclosed are:

Declaration and Power of Attorney (5 pages) [X][X]Return Copy of Notice to File Missing Parts 1 Translation Replacement Drawings (4 Sheets) [X]**Preliminary Amendment** Claim for Convention Priority and certified copy of priority documents

- [X] Information Disclosure Statement, Form PTO-1449, cited non-patent references.
 [] Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:
 - Extension for response filed within the first month
 Extension for response filed within the second month
 Extension for response filed within the third month
 Extension for response filed within the fourth month
 Extension for response filed within the fifth month

The filing fee is calculated below:

| | Claims | | Included | | Extra | | | · | Fee |
|--|----------|-----|-----------------|--------------|--------|--------|----------|----------|------------|
| | as Filed | τ | in Basic Fee | | Claims | | Rate | | Totals |
| Basic Fee | | | sasic ree | ; | | | \$770.00 | | \$770.00 |
| | | | | | | | \$770.00 | | \$770.00 |
| Total Claims: | 44 | - | 20 | = | 24 | Х | \$18.00 | = | \$432.00 |
| Independ ents: | 5 | · - | 3 | = | 2 | x | \$86.00 | = | \$172.00 |
| If any Multiple Dependent Claim(s) present: + \$290.00 | | | | | | | | = | \$0.00 |
| Surcharge under 37 CFR 1.16(e) for late filing + | | | | | | | | | |
| of Executed Declaration and late payment of \$ | | | | | | | \$130.00 | = | \$130.00 |
| filing fee | | | | | | | | | |
| | | | | | | SU | JBTOTAL: | = | \$1,504.00 |
| [X] Small Entity Fees Apply (subtract ½ of above): | | | | | | | = | \$752.00 | |
| TOTAL FILING FEE: | | | | | | | | = | \$752.00 |
| | | | | | | T | OTAL FEE | = | \$752.00 |
| Difference to pay: | | | | | - | \$0.00 | - | \$752.00 | |

- [X] Check in the amount of \$752.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))
- Please charge Deposit Account No. 50-2350 in the amount of \$\frac{\$752.00}{}\$ in payment of surcharge fee (37 C.F.R. \§ 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to

Deposit Account No. 50-2350. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-2350.

Respectfully submitted,

Dated: August 3, 2004

FOLEY & LARDNER LLP Customer Number: 23524 Telephone: (608) 258-4207 Facsimile: (608) 258-4258 Harry C. Engstrom Attorney for Applicants Registration No. 26,876